



## *Our pledge to you...*

Our professional ethical obligations and responsibilities, along with our own personal beliefs, have always demanded no less than the highest regard and duties toward the confidentiality of your personal information and the security of your privacy. We will protect your personal information, use it appropriately, and accomplish your engagements in a manner to always maintain your trust and confidence.

If you have questions concerning this Privacy Notice, please feel free to call our office.

### **Brady Ware**

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# Privacy Notice

**Brady, Ware & Schoenfeld, Inc.**

**Brady, Ware & Schoenfeld, Inc.**

**Brady Ware Employee Benefits**

**Brady Ware Employee Benefits**

**Miami Valley Pension, LLC**

**Miami Valley Pension, LLC**

**Brady Ware Capital, LLC**

**Brady Ware Capital, LLC**

**Brady Ware Corporate Finance, LLC**

**Brady Ware Corporate Finance, LLC**

## **To Our Valued Clients...**

We understand the importance of protecting your privacy. Accordingly, the following is our Privacy Notice telling you how we intend to safeguard your personal information as our client. This is in compliance with the Gramm-Leach-Bliley Act and we will be sending you this notice annually.

### **Privacy Notice**

Protecting the privacy and confidentiality of your personal information is important to us. We value your business and the trust you put in us. We adhere to the highest level of professional ethical responsibility and obligations to protect the confidentiality of client information.

This policy summarizes what information we collect about you, the very limited times when we may share it with others, and how we protect your privacy.

### ***What personal information do we collect?***

In order to meet your needs, we collect, retain, and use various types of personal information about you.

We may collect nonpublic personal information from such sources as:

- Meetings, in person, or over the phone.
- Information submitted in person, by mail, e-mail, or other electronic methods.
- Information about your transactions with us, our affiliates, or others.
- Information received from a consumer-reporting agency.

### ***Is personal information shared with others?***

We do not share any nonpublic personal information about you to anyone without your express consent, except as permitted by law and described below.

The law permits disclosure in certain instances. For example, we must share information to protect against fraud, in response to a court subpoena, and to others who are assuring our compliance with professional standards or regulatory statutes.

To provide you with services that we believe may meet your financial needs, we may exchange limited nonpublic personal information about you to non-affiliated firms that conduct marketing services on our behalf. For your protection, our agreements with these companies require they also keep your personal information in the strictest of

### ***How do we protect the confidentiality and security of your personal information?***

Keeping your information confidential and secure is of utmost importance to us. We follow standard professional practices to actively protect the confidentiality, security, and integrity of your personal information. We also maintain physical, electronic, and procedural safeguards in that effort. We restrict access to your personal information to those members of our team who need to know that information to provide products or services to you and to the support service companies and financial institutions mentioned above. In turn, those companies are legally and contractually bound to maintain the privacy of any personal information shared with them. Additionally, our team members are bound by internal confidentiality policies and are subject to disciplinary action up to and including immediate dismissal for any policy violations.

### ***What if you become a former client?***

Should you become an inactive client or should our relationship end, nothing changes. We will continue to protect the confidentiality and security of your personal information in accordance with this privacy policy.